

EXPRESS MAIL LABEL EL682442030US  
Serial No.09/744,112

JC17 PCT/PTO 1 1 APR 2001

PATENT  
RCA 88649

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : DEBBIE INDIRA LEWIS and ROBERT HOWARD MILLER  
Serial No. : 09/744,112  
Int'l Appln. No. : PCT/US99/16228  
Int'l Filing Date : 20 July, 1999  
For : DIGITAL VIDEO APPARATUS USER INTERFACE  
ATTENTION: PCT RECEIVING OFFICE

FILING OF MISSING REQUIREMENTS OF APPLICATION -  
RESPONSE TO NOTICE TO FILE MISSING PARTS

Hon. Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Requirements of Application Under 37 CFR 371, mailed 16 February, 2001, and relating to the above-identified application Serial No.09/744,112, Applicants hereby submit the following:

- 1) An executed Declaration and Powers of Attorney.
- 2) An executed Assignment with Recordal Cover Page.
- 3) Copy of Missing Parts Notice PCT/DO/EO/905.

Please charge the following fees to Deposit Account No. 07-0832:

- 1) A surcharge of \$130.00 required under 37 CFR 1.497(a) and (b) for filing the Declaration and basic filing fee on a date later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- 2) An assignment recordation fee of \$40.00.
- 3) Petition for Extension of Time Pursuant to 37 C.F.R. 1.136 required for a 1-month extension of the due date for filing the Declaration and Powers of Attorney; extension fee of \$110.00.

Please charge any additional fees and credit any overpayments to Deposit Account No. 07-0832. A duplicate copy of this letter is enclosed for use in charging the deposit account.

04/16/2001 UEDUVIJE 00000040 070832 09744112  
01 FC:154 130.00 CH

Respectfully submitted,



Paul P. Kiel  
Registration No. 40,677  
609/734-9650

THOMSON multimedia Licensing Inc.  
PO Box 5312  
Princeton, NJ 08543-5312

DATE: April 11, 2001  
(Letter In Duplicate)

1999 2 . 2001

IS&S



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT

Washington, D.C. 20231

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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY DCKET NO.
09/744112	LEWIS	D. RCA 88652

JOSEPH S TRIPOLI  
THOMSON MULTIMEDIA LICENSING INC  
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RDO  
RPK

INTERNATIONAL APPLICATION NO.	
PCT/US99/16228	
I.A. FILING DATE	PRIORITY DATE
20 JUL 99	20 JUL 98
DATE MAILED: 16 FEB 2001	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☐ a non-English language.
  - ☒ English.
- ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☒ Preliminary amendment(s) filed 01/18/01 and \_\_\_\_\_
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_
- ☐ Verified Statement Claiming Small Entity Status.
- ☐ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:

Event	NTEC/LOSS
Deadline	16/03/01
Entered	R 21/02/01

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later that the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:

- ☐ PCT/DO/EO/917
- ☐ Notice of Defective Translation
- ☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Barbara A. Campbell

Telephone: 703-305-3631